

## Chapter 1.6

# A Tutorial on Hierarchical Classification with Applications in Bioinformatics

**Alex A. Freitas**

*University of Kent, USA*

**André C. P. L. F. de Carvalho**

*University of São Paulo, Brazil*

### ABSTRACT

In machine learning and data mining, most of the works in classification problems deal with flat classification, where each instance is classified in one of a set of possible classes and there is no hierarchical relationship between the classes. There are, however, more complex classification problems where the classes to be predicted are hierarchically related. This chapter presents a tutorial on the hierarchical classification techniques found in the literature. We also discuss how hierarchical classification techniques have been applied to the area of bioinformatics (particularly the prediction of protein function), where hierarchical classification problems are often found.

### INTRODUCTION

Classification is one of the most important problems in machine learning (ML) and data mining (DM). In general, a classification problem can be formally defined as:

*Given a set of training examples composed of pairs  $\{x_i, y_i\}$ , find a function  $f(x)$  that maps each  $x_i$  to its associated class  $y_i$ ,  $i = 1, 2, \dots, n$ , where  $n$  is the total number of training examples.*

After training, the predictive accuracy of the classification function induced is evaluated by using it to classify a set of unlabeled examples, unseen during training. This evaluation measures the generalization ability (predictive accuracy) of the classification function induced.

The vast majority of classification problems addressed in the literature involves flat classification, where each example is assigned to a class out of a finite (and usually small) set of classes. By contrast, in hierarchical classification problems, the classes are disposed in a hierarchical structure, such as a tree or a directed acyclic graph (DAG). In these structures, the nodes represent classes. Figure 1 illustrates the difference between flat and hierarchical classification problems. To keep the example simple, Figure 1b shows a tree-structured class hierarchy. The more complex case of DAG-structured class hierarchies will be discussed later. In Figure 1, each node—except the root nodes—is labeled with the number of a class. In Figure 1b, class 1 is divided into two sub-classes, 1.1 and 1.2, and class 3 is divided into three sub-classes. The root nodes are labeled “any class” to denote the case where the class of an example is unknown. Figure 1 clearly shows that flat classification problems are actually a particular case of hierarchical classification problems where there is a single level of classes—that is, where no class is divided into sub-classes.

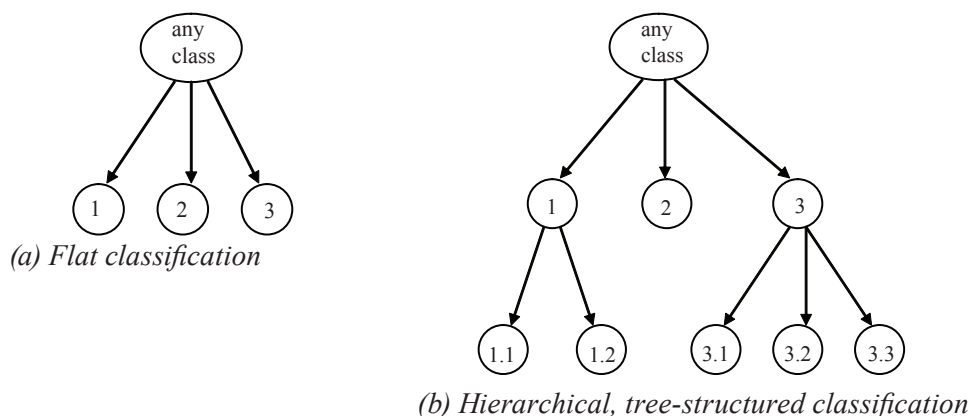
In the flat classification problem of Figure 1a, there is a single level of classes to be assigned to an example, but the class hierarchy of Figure

1b offers us more flexibility to specify at which level of the hierarchy a class will be assigned to an example.

For instance, one could require that an example should be assigned to a leaf, most specific, class. In the case of Figure 1b, this means that the candidate classes to be assigned to this example are 1.1, 1.2, 2, 3.1, 3.2, and 3.3. At first glance, by defining that only leaf classes can be assigned to an example, we are implicitly transforming the hierarchical classification problem into a flat one, since we could use a flat classification algorithm to solve it. Note, however, that in this case the flat classification algorithm would ignore valuable information in the structure of the class hierarchy. For instance, the fact that class 1.1 is more similar to class 1.2 than to class 3.1. By contrast, a truly hierarchical classification algorithm will take into account the structure of the class hierarchy. Even if we require the hierarchical algorithm to perform class assignments at the leaf level, the algorithm exploits the structure of the class hierarchy to look for a more accurate classification function.

On the other hand, we could be more flexible and allow the hierarchical classification algorithm to classify an example at any appropriate level, depending on the predictive power of the available

Figure 1. An example of flat vs. hierarchical classification



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