A Multi-Objective Decision
and Analysis Approach for the
Berth Scheduling Problem

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ABSTRACT

Berth scheduling can be described as the resource allocation problem of berth space to vessels in a container
terminal. When defining the allocation of berths to vessels container terminal operators set several objectives
which ideally need to be optimized simultaneously. These multiple objectives are often non-commensurable
and gaining an improvement on one objective often causes degrading performance on the other objectives.
In this article, the authors present the application of a multi-objective decision and analysis approach to the
berth scheduling problem, a resource allocation problem at container terminals. The proposed approach allows
the port operator to efficiently select a subset of solutions over the entire solution space of berth schedules
when multiple and conflicting objectives are involved. Results from extensive computational examples using
real-world data show that the proposed approach is able to construct and select efficient berth schedules, is
consistent, and can be used with confidence.

Keywords: Multi-Objective Optimization, Planning and Scheduling, Post Pareto Analysis, Resource
Allocation

INTRODUCTION

Berth scheduling can be described as the problem of allocating berth space to incoming container vessels; a valuable resource of container terminals. Shipping lines, and therefore vessels, arrive at a container terminal over a period of time and compete for service at the available
berths. Different factors affect the decision of a container terminal operator when deciding on the position and time slot assignment of each vessel along the terminal’s quay. Fierce terminal competition and the need to maximize resource utilization have led marine terminal operators to the development and application of a rich variety of berth scheduling policies (Steenken et al., 2004). Some of the factors affecting the berth scheduling policy to be applied include,
the type and function of the port (dedicated or private terminal, transshipment hub, etc), the size of the port, the location, nearby competition, type of contractual agreement with the vessel carriers, among others (Theofanis et al., 2009).

Most real-world scheduling problems, like the berth scheduling problem, are implicitly or explicitly multi-objective. In the case of the problem addressed in this article, several objectives (minimization of vessel turnaround time, maximization of port throughput, maximization of revenues, etc) can be considered by container terminal operators when defining berth schedules. Usually, these multiple objectives are non-commensurable and gaining an improvement on one objective often causes degradation performance in at least one other objective (i.e. minimization of the makespan of the berth schedule and minimization of the number of total quay cranes (QCs) employed). The majority of the berth scheduling policies found in the literature have not captured current port operators practices (Steenken et al., 2004; Theofanis et al., 2009), and until recently (Boile et al., 2007; Imai et al., 2007; Hansen et al., 2008; Golias et al., 2009;) researchers had not recognized the importance of simultaneously optimizing for the different objectives that a port operator needs to consider when scheduling for the berthing of vessels.

The later research presented a set of non-dominated berth schedules as the final solution of the problem, known as the Pareto-optimal set (Zeleny, 1982). However, they did not present a formal methodology that would assist the container terminal operator in the selection of the most preferred berth schedule out of the different schedules found in the Pareto front. Without a formal selection methodology, a significant effort is required for the selection of a good berth schedule within the Pareto front. This issue becomes very noticeable in the berth scheduling problem, where in most real-life instances, the Pareto front is usually in the range of a hundreds (Golias, 2007). This phenomenon is also amplified by the multi-optimal solution space of the problem (Pinedo, 2008). Literature presents that determination of a single solution for multi-objective problems is often performed using methods such as the weighted sum method, utility theory, goal programming, etc (Taboada & Coit, 2008). However, in these methods, the final solution can be highly sensitive to the weights (or costs or penalties) used in the scalarization process. Additionally, in methods such as in the case of the weighted sum method, the weights must be selected by the decision-maker prior to the determination of the optimal solution. Furthermore, even experienced practitioners have difficulty reliably selecting specific weight values even if they are intimately familiar with the problem domain.

This article presents the application of a recently introduced post-Pareto analysis approach, called the non-numerical ranking preferences method (NRPM), for the analysis of the solutions found in the Pareto front in cases where the decision-maker is uncertain or unable to provide specific weights for each objective function. In this article we also present an extension of the NRPM where the decision-maker is uncertain on the priorities of the different objective functions. The strength of the former method is that the decision-maker only needs to rank non-numerically, and in order of relative importance, the objective functions and does not have to give specific weight values. The strength of the later method is that the decision-maker does not need to make any decision a-priori and can base his decision on the properties of the resolution space. Both approaches facilitate the selection of a small subset of solutions over the entire solution space of berth schedules, obtained from the solution of the multi-objective berth scheduling problem. We would like to point out that the proposed approach can be applied to other resource allocation and scheduling problems where it is very realistic to think that decision-makers should prioritize the objectives, but cannot mathematically combine them, since the approach used in this article seems to be one of the most relevant to solve those classes of problems.
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